

FORM PTO-1390 (Modified)
(REV 10-95)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

C1392110035

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR

09/297733

INTERNATIONAL APPLICATION NO.
PCT/EP97/06103INTERNATIONAL FILING DATE
November 5, 1997PRIORITY DATE CLAIMED
November 5, 1996

TITLE OF INVENTION

BIODEGRADABLE POLYMERIC COMPOSITIONS COMPRISING
STARCH AND A THERMOPLASTIC POLYMER

APPLICANT(S) FOR DO/EO/US

Catia BASTIOLI, Vittorio BELLOTTI, Gian Domenico CELLA, Luciano DEL GIUDICE,
Sandro MONTINO, Gabriele PEREGO

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c) (2))
 - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☒ has been transmitted by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
- ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
- ☒ A copy of the International Search Report (PCT/ISA/210).
- ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
- ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
- ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
- ☒ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
- ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).

Items 13 to 18 below concern document(s) or information included:

13. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☐ A **FIRST** preliminary amendment.
A **SECOND** or **SUBSEQUENT** preliminary amendment.
16. ☐ A substitute specification.
17. ☐ A change of power of attorney and/or address letter.
18. ☒ Certificate of Mailing by Express Mail
19. ☒ Other items or information:

Verified Statement Claiming Small Entity Status;
Transmittal Letter.

20. The following fees are submitted:
- BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)) :**
- ☒ Search Report has been prepared by the EPO or JPO **\$840.00**
 - ☐ International preliminary examination fee paid to USPTO (37 CFR 1.482) **\$670.00**
 - ☐ No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)) **\$760.00**
 - ☐ Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2) paid to USPTO **\$970.00**
 - ☐ International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4) **\$96.00**

CALCULATIONS PTO USE ONLY

ENTER APPROPRIATE BASIC FEE AMOUNT =

\$840.00

Surcharge of **\$130.00** for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492 (e)). ☐ 20 ☒ 30

\$130.00

| CLAIMS | NUMBER FILED | NUMBER EXTRA | RATE | | |
|--------------------|--------------|--------------|-----------|--|-----------------|
| Total claims | 50 - 20 = | 30 | x \$18.00 | | \$540.00 |
| Independent claims | 2 - 3 = | 0 | x \$78.00 | | \$0.00 |

Multiple Dependent Claims (check if applicable). ☒

\$260.00

TOTAL OF ABOVE CALCULATIONS =

\$1,770.00

Reduction of 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28) (check if applicable). ☒

\$885.00

SUBTOTAL =

\$885.00

Processing fee of **\$130.00** for furnishing the English translation later than months from the earliest claimed priority date (37 CFR 1.492 (f)). ☐ 20 ☐ 30 +

\$0.00

TOTAL NATIONAL FEE =

\$885.00

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable). ☐

\$0.00

TOTAL FEES ENCLOSED =

\$885.00

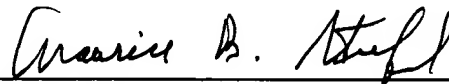
| | |
|-----------------------|----|
| Amount to be refunded | \$ |
| charged | \$ |

- ☒ A check in the amount of **\$885.00** to cover the above fees is enclosed.
- ☐ Please charge my Deposit Account No. _____ in the amount of _____ to cover the above fees.
A duplicate copy of this sheet is enclosed.
- ☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment to Deposit Account No. **02-4467** A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Maurice B. Stiefel
BRYAN CAVE LLP
 245 Park Avenue
 New York, New York 10167-0034



SIGNATURE

Maurice B. Stiefel

NAME

18,479

REGISTRATION NUMBER

May 5, 1999

DATE

512 Rec'd PCT

05 MAY 1999

09/297733

Docket No.: C13929/110035

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re National Phase Application of:)
)
Catia BASTIOLI, *et al.*) U.S. Application No.:
) To be Assigned
International Application No.: PCT/EP97/06103)
)
International Application Filing Date: November 5, 1997)

For: BIODEGRADABLE POLYMERIC COMPOSITIONS
COMPRISING STARCH AND A THERMOPLASTIC POLYMER

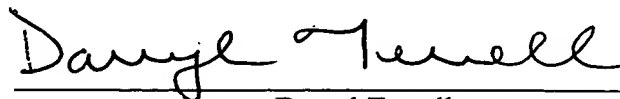
New York, NY
May 5, 1999

BOX PCT
Assistant Commissioner for Patents
Washington, D.C. 20231

CERTIFICATE OF EXPRESS MAILING

"Express Mail" mailing label number: EM036758398US
Date of Deposit: May 5, 1999

I hereby certify that the attached Transmittal Form PTO-1390, Notice Informing the Applicant of the Communication of the International Application to the Designated Offices, International Search Report, PCT Demand, Notification of Transmittal of the International Preliminary Examination Report, Verified Statement Claiming Small Entity Status, Information Disclosure Statement (with references); Form PTO-1449, check in the amount of \$885.00 to cover the filing fee, and return postal card, are being deposited with the United States Postal Service "Express Mail Post Office To Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to Assistant Commissioner for Patents, BOX PCT, Washington, D.C. 20231.



Darryl Terrell